

Newcastle City Council

Policy

Code of Conduct

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Code of Conduct Policy



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Code of Conduct Policy



	(NSW); <ul style="list-style-type: none">▪ <i>Health Records and Information Privacy Act 2002</i> (NSW).
Related policies/documents	<ul style="list-style-type: none">▪ Interaction between Councillors and Staff Policy▪ Code of Meeting Practice▪ Media Policy
Related forms	<ul style="list-style-type: none">▪ Pecuniary Interest Declaration▪ Non-Pecuniary Interest Declaration
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Part 1 Introduction

Introduction into the Model Code of Conduct

The Model Code of Conduct for Local Councils in NSW (**Model Code of Conduct**) is made for the purposes of section 440 of the *Local Government Act 1993 (Act)*. Section 440 of the Act requires every council to adopt a Code of Conduct that incorporates the provisions of the Model Code of Conduct. For the purposes of section 440 of the Act, the Model Code of Conduct comprises all parts of this document.

Councillors, administrators, members of staff of Council, independent conduct reviewers, members of council committees including a conduct review committee, and delegates of Council must comply with the applicable provisions of Council's Code of Conduct in carrying out their functions as Council Officials. It is the personal responsibility of Council Officials to comply with the standards in the Code of Conduct and regularly review their personal circumstances with this in mind.

Failure by a Councillor to comply with the standards of conduct prescribed under this Code of Conduct constitutes misconduct for the purposes of the Act. The Act provides for a range of penalties that may be imposed on Councillors for misconduct, including suspension or disqualification from civic office. A Councillor who has been suspended on three or more occasions for misconduct is automatically disqualified from holding civic office for five years.

Failure by a member of staff to comply with Council's Code of Conduct may give rise to disciplinary action.

Part 2 Purpose

Council's Code of Conduct sets the minimum requirements of conduct for Council Officials in carrying out their functions (as prescribed by the Regulation).

The purpose of the Code of Conduct is to assist Council Officials to:

- understand the standards of conduct that are expected of them;
- enable them to fulfil their statutory duty to act honestly and exercise a reasonable degree of care and diligence; and
- act in a way that enhances public confidence in the integrity of local government.

Part 3 General conduct obligations

General conduct

- 3.1 You must not conduct yourself, in carrying out your functions, in a manner that is likely to bring Council or holders of civic office into disrepute. Specifically, you must not act in a way that:
- a) contravenes the Act, associated Regulations, Council's relevant administrative requirements and policies;
 - b) is detrimental to the pursuit of Council's Principles;
 - c) is improper or unethical;
 - d) is an abuse of power or otherwise amounts to misconduct;
 - e) causes, comprises or involves intimidation, harassment or verbal abuse;
 - f) causes, comprises or involves discrimination, disadvantage or adverse treatment in relation to employment; or
 - g) causes, comprises or involves prejudice in the provision of a service to the community.
- 3.2 You must act lawfully, honestly and exercise a reasonable degree of care and diligence in carrying out your functions under the Act or any other relevant legislation.
- 3.3 You must treat others with respect at all times.

Fairness and equity

- 3.4 You must consider issues consistently, promptly and fairly. You must deal with matters in accordance with established procedures, in a non-discriminatory manner.
- 3.5 You must take all relevant facts known to you, or that you should be reasonably aware of, into consideration and have regard to the particular merits of each case. You must not take irrelevant matters or circumstances into consideration when making decisions.

Harassment and discrimination

- 3.6 You must not harass, discriminate against, or support others who harass and discriminate against colleagues or members of the public. This includes, but is not limited to, harassment and discrimination on the grounds of sex, pregnancy, age, race, responsibilities as a carer, marital status, disability, homosexuality, transgender grounds or if a person has an infectious disease.

Development decisions

- 3.7 You must ensure that development decisions are properly made and that parties involved in the development process are dealt with fairly. You must avoid any occasion for suspicion of improper conduct in the development assessment process.

- 3.8 In determining development applications, you must ensure that no action, statement or communication between yourself and applicants or objectors conveys any suggestion of willingness to provide improper concessions or preferential treatment.

Binding caucus votes

- 3.9 You must not participate in binding caucus votes in relation to matters to be considered at a Council or committee meeting.
- 3.10 For the purposes of clause 3.9, a binding caucus vote is a process whereby a group of Councillors are compelled by a threat of disciplinary or other adverse action to comply with a predetermined position on a matter before the elected Council or Committee meeting, irrespective of the personal views of individual members of the group on the merits of the matter before the elected Council or committee.
- 3.11 Clause 3.9 does not prohibit Councillors from discussing a matter before the elected Council or committee prior to considering the matter in question at a Council or committee meeting, or from voluntarily holding a shared view with other Councillors on the merits of a matter.
- 3.12 Clause 3.9 does not apply to a decision to elect the Lord Mayor or Deputy Lord Mayor, or to nominate a person to be a member of a committee.

Part 4 Conflict of Interests

What is a Conflict of Interests?

- 4.1 A Conflict of Interests exists where a reasonable and informed person would perceive that you could be influenced by a private interest when carrying out your public duty.
- 4.2 You must avoid or appropriately manage any Conflict of Interests. The onus is on you to identify a Conflict of Interests and take the appropriate action to manage the conflict in favour of your public duty.
- 4.3 Any Conflict of Interests must be managed to uphold the probity of Council decision-making. When considering whether or not you have a Conflict of Interests, it is always important to think about how others would view your situation.
- 4.4 Private interests can be of two types: pecuniary or non-pecuniary.

What is a pecuniary interest?

- 4.5 A pecuniary interest is an interest that a person has in a matter because of a reasonable likelihood or expectation of appreciable financial gain or loss to the person.
- 4.6 A person will also be taken to have a pecuniary interest in a matter if that person's spouse or de facto partner, or a relative of the person, or a partner, or employer of the person, or a company or other body of which the person, or a nominee, partner or employer of the person is a member, has a pecuniary interest in the matter.

Managing pecuniary Conflict of Interests

- 4.7 Pecuniary interests are regulated by Chapter 14, Part 2 of the Act. The Act requires that:
 - a) Councillors and Designated Persons lodge an initial and an annual written disclosure of interests that could potentially be in conflict with their public or professional duties;
 - b) Councillors and members of committees disclose an interest and the nature of that interest at a meeting, leave the meeting and be out of sight of the meeting and not participate in discussions or voting on the matter; and
 - c) Designated Persons immediately declare, in writing, any pecuniary interest.
- 4.8 Designated Persons are defined at section 441 of the Act, and include, but are not limited to, the CEO and other senior staff of Council.
- 4.9 Where you are a member of staff of Council, other than a Designated Person (as defined by section 441), you must disclose in writing to your supervisor or the CEO, the nature of any pecuniary interest you have in a matter you are dealing with as soon as practicable.

What are non-pecuniary interests?

4.10 Non-pecuniary interests are private or personal interests the Council Official has that do not amount to pecuniary interests as defined in the Act. These commonly arise out of family, or personal relationships, or involvement in sporting, social or other cultural groups and associations and may include an interest of a financial nature.

4.11 The political views of a Councillor do not constitute a private interest.

Managing non-pecuniary Conflict of Interests

4.12 Where you have a non-pecuniary interest that conflicts with your public duty, you must disclose the interest fully and in writing, even if the conflict is not significant. You must do this as soon as practicable.

4.13 If a disclosure is made at a Council or committee meeting, both the disclosure and the nature of the interest must be recorded in the minutes. This disclosure constitutes disclosure in writing for the purposes of clause 4.2.

4.14 How you manage a non-pecuniary Conflict of Interests will depend on whether or not it is significant.

4.15 As a general rule, a non-pecuniary Conflict of Interests will be significant where a matter does not raise a pecuniary interest but it involves:

- a) a relationship between a Council Official and another person that is particularly close, for example, parent, grandparent, brother, sister, uncle, aunt, nephew, niece, lineal descendant or adopted child of the person or of the person's spouse, current or former spouse or partner, de facto or other person living in the same household;
- b) other relationships that are particularly close, such as friendships and business relationships. Closeness is defined by the nature of the friendship or business relationship, the frequency of contact and the duration of the friendship or relationship; or
- c) an affiliation between the Council Official and an organisation, sporting body, club, corporation or association that is particularly strong.

4.16 If you are a Council Official, other than a member of staff of Council, and you have disclosed that a significant non-pecuniary Conflict of Interests exists, you must manage it in one of two ways:

- a) remove the source of the conflict, by relinquishing or divesting the interest that creates the conflict, or reallocating the conflicting duties to another Council Official; and
- b) have no involvement in the matter, by absenting yourself from, and not taking part in, any debate or voting on the issue as if the provisions in section 451(2) of the Act apply.

4.17 If you determine that a non-pecuniary Conflict of Interests is less than significant and does not require further action, you must provide an explanation of why you consider that the conflict does not require further action in the circumstances.

- 4.18 If you are a member of staff of Council, the decision on which option should be taken to manage a non-pecuniary Conflict of Interests must be made in consultation with your manager.
- 4.19 Despite clause 4.16(b), a Councillor who has disclosed that a significant non-pecuniary Conflict of Interests exists may participate in a decision to delegate Council's decision-making role to Council staff through the CEO, or appoint another person or body to make the decision in accordance with the law. This applies whether or not Council would be deprived of a quorum if one or more Councillors were to manage their Conflict of Interests by not voting on a matter in accordance with clause 4.16(b) above.

Reportable political donations

- 4.20 Councillors should note that matters before Council involving political or campaign donors may give rise to a non-pecuniary Conflict of Interests.
- 4.21 Where a Councillor has received or knowingly benefitted from a reportable political donation:
- a) made by a major political donor in the previous four years, and
 - b) where the major political donor has a matter before Council,

then the Councillor must declare a non-pecuniary Conflict of Interests, disclose the nature of the interest, and manage the Conflict of Interests in accordance with clause 4.16(b).

- 4.22 For the purposes of this Part:
- a) a reportable political donation is a reportable political donation for the purposes of section 86 of the *Election Funding, Expenditure and Disclosures Act 1981* (NSW),
 - b) a major political donor is a major political donor for the purposes of section 84 of the *Election Funding, Expenditure and Disclosures Act 1981* (NSW).
- 4.23 Councillors should note that political donations below \$1,000, or political donations to a registered political party or group by which a Councillor is endorsed, may still give rise to a non-pecuniary Conflict of Interests. Councillors should determine whether or not such conflicts are significant and take the appropriate action to manage them.
- 4.24 If a Councillor has received or knowingly benefitted from a reportable political donation of the kind referred to in clause 4.21, that Councillor is not prevented from participating in a decision to delegate Council's decision-making role to Council staff through the CEO, or appointing another person or body to make the decision in accordance with the relevant legislation (see clause 4.19 above).

Loss of quorum as a result of compliance with this Part

- 4.25 Where a majority of Councillors are precluded under this Part from consideration of a matter, Council or the committee must resolve to delegate consideration of the matter in question to another person.
- 4.26 Where a majority of Councillors are precluded under this Part from consideration of a matter and the matter in question concerns the exercise of a function that may not be delegated under section 377 of the Act, the Councillors may apply in writing to the Chief Executive to be exempted from complying with a requirement under this Part relating to the management of a non-pecuniary Conflict of Interests.
- 4.27 The Chief Executive will only exempt a Councillor from complying with a requirement under this Part where:
- a) compliance by Councillors with a requirement under the Part in relation to a matter will result in the loss of a quorum, and
 - b) the matter relates to the exercise of a function of Council that may not be delegated under section 377 of the Act.
- 4.28 Where the Chief Executive exempts a Councillor from complying with a requirement under this Part, the Councillor must still disclose any interests they have in the matter the exemption applies to in accordance with the requirements of this Part.
- 4.29 A Councillor, who would otherwise be precluded from participating in the consideration of a matter under this Part because they have a non-pecuniary Conflict of Interests in the matter, is permitted to participate in consideration of the matter, if:
- a) the matter is a proposal relating to:
 - i. the making of a principal environmental planning instrument applying to the whole or a significant part of Council's area, or
 - ii. the amendment, alteration or repeal of an environmental planning instrument where the amendment, alteration or repeal applies to the whole or a significant part of Council's area, and
 - b) the non-pecuniary conflict of interests arises only because of an interest that a person has in that person's principal place of residence, and
 - c) the Councillor declares any interest they have in the matter that would otherwise have precluded their participation in consideration of the matter under this Part.

Other business or employment

- 4.30 If you are a member of staff of Council considering outside employment or contract work that relates to the business of the Council or that might conflict with your Council duties, you must notify and seek the approval of the CEO in writing.
- 4.31 As a member of staff, you must ensure that any outside employment or business you engage in will not:
- a) conflict with your official duties;

- b) involve using confidential information or Council resources obtained through your work with Council;
- c) require you to work while on Council duty; or
- d) discredit or disadvantage Council.

Personal dealings with Council

4.32 You may have reason to deal with Council in your personal capacity (for example, as a ratepayer, recipient of a Council service or applicant for a consent granted by Council). You must not expect or request preferential treatment in relation to any matter in which you have a private interest because of your position. You must avoid any action that could lead members of the public to believe that you are seeking preferential treatment.

Part 5 Personal benefit

For the purposes of this section, a reference to a gift or benefit does not include a political donation or contribution to an election fund that is subject to the provisions of the relevant election funding legislation.

Gifts and benefits

- 5.1 You must avoid situations giving rise to the appearance that a person or body, through the provision of gifts, benefits or hospitality of any kind, is attempting to secure favourable treatment from you or from Council.
- 5.2 You must take all reasonable steps to ensure that your immediate family members do not receive gifts or benefits that give rise to the appearance of being an attempt to secure favourable treatment. Immediate family members ordinarily include parents, spouses, children and siblings.

Token gifts and benefits

- 5.3 Generally speaking, token gifts and benefits include:
 - a) free or subsidised meals, beverages or refreshments provided in conjunction with:
 - i. the discussion of official business,
 - ii. Council work related events such as training, education sessions, workshops,
 - iii. Conferences,
 - iv. Council functions or events,
 - v. social functions organised by groups, such as committees and community organisations,
 - b) invitations to and attendance at local social, cultural or sporting events;
 - c) gifts of single bottles of reasonably priced alcohol to individual Council Officials at end of year functions, public occasions or in recognition of work done (such as providing a lecture/training session/address);
 - d) ties, scarves, coasters, tie pins, diaries, chocolates or flowers; or
 - e) prizes of token value.

Gifts and benefits of value

- 5.4 Notwithstanding clause 5.3, gifts and benefits that have more than a token value include, but are not limited to, tickets to major sporting events (such as state or international cricket matches or matches in other national sporting codes (including the NRL, AFL, FFA, NBL)), corporate hospitality at a corporate facility at major sporting events, discounted products for personal use, the frequent use of facilities such as gyms, use of holiday homes, free or discounted travel.

How are offers of gifts and benefits to be dealt with?

5.5 You must not:

- a) seek or accept a bribe or other improper inducement;
- b) seek gifts or benefits of any kind;
- c) accept any gift or benefit that may create a sense of obligation on your part or may be perceived to be intended or likely to influence you in carrying out your public duty;
- d) accept any gift or benefit of more than token value; or
- e) accept an offer of cash or a cash-like gift, regardless of the amount.

5.6 For the purposes of clause 5.5(e) a "cash-like gift" includes, but is not limited to, gift vouchers, credit cards, debit cards with credit on them, prepayments such as phone or internal credit, memberships or entitlements to discounts.

5.7 Where you receive a gift or benefit of more than a token value that cannot reasonably be refused or returned, this must be disclosed promptly to your supervisor, the Lord Mayor or the CEO. The recipient, supervisor, Lord Mayor or CEO must ensure that any gifts or benefits of more than a token value that are received are recorded in a Gifts Register. The gift or benefit must be surrendered to Council, unless the nature of the gift or benefit makes this impractical.

Improper and undue influence

5.8 You must not use your position to influence other Council Officials in the performance of their public or professional duties to obtain a private benefit for yourself or for somebody else. A Councillor will not be in breach of this clause where they seek to influence other Council Officials through the appropriate exercise of their representative functions.

5.9 You must not take advantage (or seek to take advantage) of your status or position with, or of functions you perform for, Council in order to obtain a private benefit for yourself or for any other person or body.

Part 6 Relationship between Council Officials

Obligations of Councillors and Administrators

6.1 For the purposes of the Act, each Council is a body politic. The Councillors or Administrator/s are the governing body of the Council. The governing body has the responsibility of directing and controlling the affairs of the Council in accordance with the Act and is responsible for policy determinations, for example, those relating to workforce policy.

6.2 Councillors or Administrators must not:

- a) direct Council staff, other than by giving appropriate direction to the CEO, in the performance of Council's functions by way of Council or committee resolution, or by the Lord Mayor or Administrator exercising their power under section 226 of the Act;
- b) in any public or private forum, direct or influence or attempt to direct or influence, any other member of the staff of Council or a Delegate of Council in the exercise of the functions of the member or delegate;
- c) contact a member of the staff of Council on Council related business unless in accordance with the policy and procedures governing the interaction of Councillors and Council staff that have been authorised by Council and the CEO; or
- d) contact or issue instructions to any of Council's contractors or tenderers, including Council's legal advisers, unless by the Lord Mayor or Administrator exercising their power under section 226 of the Act. This does not apply to Council's external auditors or the chair of Council's Audit Committee who may be provided with any information by individual Councillors reasonably necessary for the external auditor or Audit Committee to effectively perform their functions.

Obligations of staff

6.3 The CEO is responsible for the efficient and effective operation of Council's organisation and for ensuring the implementation of the decisions of the elected Council without delay.

6.4 Members of staff of Council must:

- a) give their attention to the business of Council while on duty;
- b) ensure that their work is carried out efficiently, economically and effectively;
- c) carry out lawful directions given by any person having authority to give such directions;
- d) give effect to the lawful decisions, policies, and procedures of the elected Council, whether or not the staff member agrees with or approves of them; and

- e) ensure that any participation in political activities outside the service of Council does not conflict with the performance of their official duties.

Obligations during meetings

- 6.5 You must act in accordance with Council's Code of Meeting Practice and the Regulations during Council and committee meetings.
- 6.6 You must show respect to the chair, other Council Officials and any members of the public present during Council and committee meetings or other formal proceedings of Council.

Inappropriate interactions

- 6.7 You must not engage in any of the following inappropriate interactions:
 - a) Councillors and Administrators approaching staff and staff organisations to discuss individual or operational staff matters other than broader workforce policy issues.
 - b) Council staff approaching Councillors and Administrators to discuss individual or operational staff matters other than broader workforce policy issues.
 - c) Council staff refusing to give information that is available to other Councillors to a particular Councillor.
 - d) Councillors and Administrators who have lodged a development application with Council, discussing the matter with Council staff in staff-only areas of Council.
 - e) Councillors and Administrators being overbearing or threatening to Council staff.
 - f) Councillors and Administrators making personal attacks on Council staff in a public forum.
 - g) Councillors and Administrators directing or pressuring Council staff in the performance of their work, or recommendations they should make.
 - h) Council staff providing ad hoc advice to Councillors and Administrators without recording or documenting the interaction as they would if the advice was provided to a member of the community.
 - i) Council staff meeting with applicants or objectors alone and/or outside office hours to discuss applications or proposals.
 - j) Councillors attending on-site inspection meetings with lawyers and/or consultants engaged by Council associated with current or proposed legal proceedings unless permitted to do so by Council's CEO or, in the case of the Lord Mayor or Administrator, exercising their power under section 226 of the Act.

Part 7 Access to information and Council resources

Councillor and Administrator access to information

- 7.1 The CEO and Public Officer are responsible for ensuring that members of the public, Councillors and Administrators can gain access to the documents available under the *Government Information (Public Access) Act 2009*.
- 7.2 The CEO must provide Councillors and Administrators with information sufficient to enable them to carry out their civic office functions.
- 7.3 Members of staff of Council must provide full and timely information to Councillors and Administrators sufficient to enable them to carry out their civic office functions and in accordance with Council procedures.
- 7.4 Members of staff of Council who provide any information to particular Councillor in the performance of their civic duties must also make it available to any other Councillor who requests it and in accordance with Council procedures.
- 7.5 Councillors and Administrators who have a private (as distinct from civic) interest in a document of Council have the same rights of access as any member of the public.

Councillors and Administrators to properly examine and consider information

- 7.6 Councillors and Administrators must properly examine and consider all the information provided to them relating to matters that they are dealing with to enable them to make a decision on the matter in accordance with Council's Principles.

Refusal of access to documents

- 7.7 Where the CEO and Public Officer determine to refuse access to a document sought by a Councillor or Administrator they must act reasonably. In reaching this decision, they must take into account whether or not the document sought is required for the Councillor or Administrator to perform their civic duty (see clause 7.2). The CEO or Public Officer must state the reasons for the decision if access is refused.

Use of certain Council information

- 7.8 In regards to information obtained in your capacity as a Council Official, you must:
 - a) only access Council information needed for Council business;
 - b) not use that Council information for private purposes;
 - c) not seek or obtain, either directly or indirectly, any financial benefit or other improper advantage for yourself, or any other person or body, from any information to which you have by virtue of your office or position with Council; and
 - d) only release Council information in accordance with established Council policies and procedures, and in compliance with relevant legislation.

Use and security of confidential information

- 7.9 You must maintain the integrity and security of confidential documents or information in your possession, or for which you are responsible.
- 7.10 In addition to your general obligations relating to the use of Council information, you must:
- a) protect confidential information;
 - b) only release confidential information if you have authority to do so;
 - c) only use confidential information for the purpose it is intended to be used;
 - d) not use confidential information gained through your official position for the purpose of securing a private benefit for yourself or for any other person;
 - e) not use confidential information with the intention to cause harm or detriment to Council or any other person or body; and
 - f) not disclose any information discussed during a confidential session of a Council meeting.

Personal Information

- 7.11 When dealing with Personal Information you must comply with:
- a) the *Privacy and Personal Information Protection Act 1998* (NSW);
 - b) the *Health Records and Information Privacy Act 2002* (NSW);
 - c) the Information Protection Principles and Health Privacy Principles;
 - d) Council's privacy management plan; and
 - e) the Privacy Code of Practice for Local Government.

Use of Council resources

- 7.12 You must use Council resources ethically, effectively, efficiently and carefully in the course of your official duties, and must not use them for private purposes (except when supplied as part of a contract of employment), unless this use is lawfully authorised and proper payment is made where appropriate.
- 7.13 Union delegates and consultative committee members may have reasonable access to Council resources for the purposes of carrying out their industrial responsibilities, including but not limited to:
- a) the representation of members with respect to disciplinary matters;
 - b) the representation of employees with respect to grievances and disputes; and

- c) functions associated with the role of the local consultative committee.
- 7.14 You must be scrupulous in your use of Council property, including intellectual property, official services and facilities, and must not permit their misuse by any other person or body.
- 7.15 You must avoid any action or situation that could create the appearance that Council property, official services or public facilities are being improperly used for your benefit or the benefit of any other person or body.
- 7.16 You must not use Council resources, property or facilities for the purpose of assisting your Election Campaign or the Election Campaign of others, unless the resources, property or facilities are otherwise available for use or hire by the public and any publicly advertised fee is paid for use of the resources, property or facility.
- 7.17 You must not use Council letterhead, Council crests and other information that could give the appearance it is official Council material for:
- a) the purpose of assisting your Election Campaign or the Election Campaign of others, or
 - b) for other non-official purposes.
- 7.18 You must not convert any property of Council to your own use unless properly authorised.
- 7.19 You must not use Council's computer resources to search for, access, download or communicate any material of an offensive, obscene, pornographic, threatening, abusive or defamatory nature.

Councillor access to Council buildings

- 7.20 Councillors and Administrators are entitled to have access to the Council chamber, Committee room, Lord Mayor's office (subject to availability), Councillors' rooms, and public areas of Council's buildings during normal business hours and for meetings. Councillors and Administrators needing access to these facilities at other times must obtain authority from the CEO.
- 7.21 Councillors and Administrators must not enter staff-only areas of Council buildings without the approval of the CEO (or delegate), or as provided in the procedures governing the interaction of Councillors and Council staff.
- 7.22 Councillors and Administrators must ensure that when they are within a staff area they avoid giving rise to the appearance that they may improperly influence Council staff decisions.

Part 8 Maintaining the integrity of the Code of Conduct

8.1 You must not conduct yourself in a manner that is likely to undermine confidence in the integrity of the Code of Conduct or its administration.

Complaints made for an improper purpose

8.2 You must not make a complaint, or cause a complaint to be made, under the Code of Conduct for an improper purpose.

8.3 For the purposes of clause 8.2, a complaint is made for an improper purpose where it is trivial, frivolous, vexatious or not made in good faith, or where it otherwise lacks merit and has been made substantially for one or more of the following purposes:

- a) to intimidate or harass another Council Official;
- b) to damage another Council Official's reputation;
- c) to obtain a political advantage;
- d) to influence a Council Official in the exercise of their official functions or to prevent or disrupt the exercise of those functions;
- e) to influence Council in the exercise of its functions or to prevent or disrupt the exercise of those functions;
- f) to avoid disciplinary action under the Code of Conduct;
- g) to take reprisal action against a person for making a complaint under the Code of Conduct except as may be otherwise specifically permitted under the Code of Conduct;
- h) to take reprisal action against a person for exercising a function prescribed under the procedures for the administration of the Code of Conduct except as may be otherwise specifically permitted under the Code of Conduct; and
- i) to prevent or disrupt the effective administration of the Code of Conduct.

Detrimental action

8.4 You must not take detrimental action, or cause detrimental action to be taken, against a person substantially in reprisal for a complaint they have made under the Code of Conduct, except as may be otherwise specifically permitted under the Code of Conduct.

8.5 You must not take detrimental action, or cause detrimental action to be taken, against a person substantially in reprisal for any function they have exercised under the Code of Conduct, except as may be otherwise specifically permitted under the Code of Conduct.

- 8.6 For the purposes of clauses 8.4 and 8.5 detrimental action is an action causing, comprising or involving any of the following:
- a) injury, damage or loss;
 - b) intimidation or harassment;
 - c) discrimination, disadvantage or adverse treatment in relation to employment;
 - d) dismissal from, or prejudice in, employment; or
 - e) disciplinary proceedings.

Compliance with requirements under the Code of Conduct

- 8.7 You must not engage in conduct that is calculated to impede or disrupt the consideration of a matter under the Code of Conduct.
- 8.8 You must comply with a reasonable and lawful request made by a person exercising a function under the Code of Conduct.
- 8.9 You must comply with a practice ruling made by the Office of Local Government.
- 8.10 Where you are a Councillor or the CEO, you must comply with any Council resolution requiring you to take action as a result of a breach of the Code of Conduct.

Disclosure of information about the consideration of a matter under the Code of Conduct

- 8.11 You must report breaches of the Code of Conduct in accordance with the reporting requirements under the Code of Conduct.
- 8.12 You must not make allegations of suspected breaches of the Code of Conduct at Council meetings or in other public forums.
- 8.13 You must not disclose information about the consideration of a matter under the Code of Conduct except for the purposes of seeking legal advice unless the disclosure is otherwise permitted under the Code of Conduct.

Complaints alleging a breach of this part

- 8.14 Complaints alleging a breach of this Part by a Councillor, the CEO or an Administrator are to be made to the Office of Local Government.
- 8.15 Complaints alleging a breach of this Part by other Council Officials are to be made to the CEO.

Part 9 Definitions

In the Model Code of Conduct the following definitions apply:

Act	means the <i>Local Government Act 1993</i> (NSW).
Administrator	means an Administrator of a Council appointed under the Act other than an Administrator appointed under section 66.
General Manager	means Council's Chief Executive Officer of Newcastle City Council and includes their delegate or authorised representative. References to the CEO are references to the General Manager appointed under the Act.
Chief Executive	means the Chief Executive of the Division of Local Government or Department of Premier and Cabinet.
Code of Conduct	means this Code of Conduct and the associated procedures for the administration of the Code of Conduct as prescribed by the Regulation.
Conflict of Interests	means where a reasonable and informed person would perceive that you could be influenced by a private interest when carrying out your public duty.
Council Committee	means a Committee established by resolution of Council.
Council Committee Member	means a person other than a Councillor or member of staff of a Council who is a member of a Committee.
Council Official	means Councillors, members of staff of Council, Administrators, Committee members, conduct reviewers and Delegates of Council.
Councillor	means a person elected or appointed to civic office and includes the Lord Mayor.
Delegate of Council	means a person (other than a Councillor or member of staff of a Council) or body, and the individual members of that body, to whom a function of the Council is delegated.
Designated Person	means a person as defined in section 441 of the Act.
Election Campaign	includes Council, State and Federal Election Campaigns.
Personal Information	means information or an opinion about a person whose identity is apparent, or can be ascertained from the information or opinion.
Regulation	means the <i>Local Government (General) Regulation 2005</i> .
You	means a Council Official.